

# Data mining, machine learning, and uncertainty reasoning

林偉川

## Quest for natural laws

- Having **powerful algorithms** for concept formation is not enough
- Build a system which is capable **not only of constructing new concepts, but also of describing their relations in terms of laws** is more important

## Quest for natural laws

- Several reasons support activities in this field:
  1. **Huge DBs** from many **scientific fields** are available, waiting for someone to **analyze** them
  2. Powerful techniques in machine learning and artificial intelligence have been developed so that one can hope for a kind of '**intelligent**' analysis
  3. If intelligent automatic analyzers are not constructed, the **search into artificial discovery** may help to **elucidate** some of the mysteries of human invention (inspiration, analogy, and abstraction)

3

### 一、理想氣體物態方程式

$$PV = nRT = NkT$$

1. 符號說明：

$P$ ：壓力。單位： $atm$ ， $Nt/m^2$        $V$ ：體積。單位： $\ell$  (升)， $m^3$   
 $n$ ：莫耳數。       $N$ ：分子數。  
 $R$ ：理想氣體常數。       $k$ ：波茲曼常數。  
 $T$ ：絕對溫度 ( $K$ )，且  $T=273+t$  (攝氏溫度， $^{\circ}C$ )

2. 常數：

在  $S.T.P.$  ( $273K$ ， $1atm$ ) 下， $1mole$  理想氣體占體積  $22.4$  公升，

$$\text{故 } R = \frac{PV}{nT} = \frac{1atm \cdot 22.4\ell}{1mole \cdot 273K} = 0.082 \frac{atm \cdot \ell}{mole \cdot K}$$

$$= \frac{1.013 \times 10^5 \frac{Nt}{m^2} \cdot 22.4 \times 10^{-3} m^3}{1mole \cdot 273K} = \boxed{8.317} \frac{Joule}{mole \cdot K}$$

$$\text{且 } \frac{R}{k} = \frac{n(\text{莫耳數})}{N(\text{分子數})} = N_0 (\text{亞佛加厥常數})$$

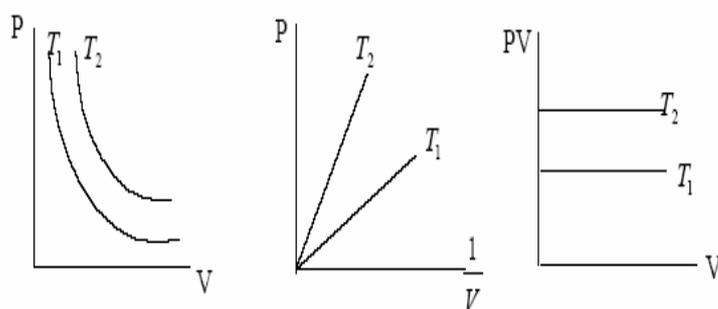
理想氣體常數 = 波茲曼常數  $\times$  亞佛加厥常數

$$R = k \cdot N_0$$

$$\text{故 } k = \frac{R}{N_0} = \frac{8.317 \frac{J}{mole \cdot K}}{6.02 \times 10^{23} \frac{\text{分子}}{mole}} = \boxed{1.38 \times 10^{-23}} \frac{Joule}{\text{分子} \cdot K}$$

二、波以耳定律：理想氣體在定溫下，定量氣體的壓力（ $P$ ）與其體積（ $V$ ）成反比。

在  $n$ 、 $T$  固定下  $P \cdot V = \text{定值}$  或  $P_1 V_1 = P_2 V_2$



5

在  $n$ 、 $T$  不變下  $P$ - $V$  圖：雙曲線； $P$ - $\frac{1}{V}$  圖：斜直線； $PV$ - $V$  圖：水平直線。

若  $n$  不變， $T$  可變化下，以上三圖溫度關係皆為  $T_2 > T_1$ 。

例：用一筒氮氣吹氣球，氮氣筒之容積為 0.1 立方米，原來之壓力為

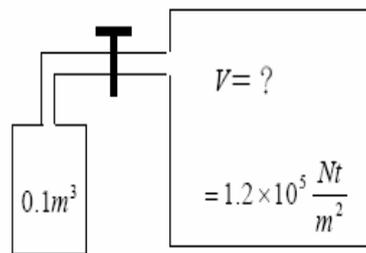
$1.0 \times 10^7 \text{ Nt/m}^2$ 。每一汽球充氣後體積為  $1.0 \times 10^{-2}$  立方米，壓力為

$1.2 \times 10^5 \text{ 牛頓/米}^2$ 。用該氮氣筒最多約可吹出多少個這樣的氣球？

(A) 940 個 (B) 820 個 (C) 600 個 (D) 480 個 (E) 260 個。

答： (B)

解：(1) 先利用波以耳定律，計算器瓶內壓力當降至與氣球相等壓力時的體積。  
(2) 氣瓶內之氣體不可能全部用以充氣。



先將氣瓶內氣體放入右室，壓力大小為  $1.2 \times 10^5 \frac{Nt}{m^2}$

故右邊氣室之體積

$$V = \frac{1.0 \times 10^7 \times 0.1}{1.2 \times 10^5} - 0.1 (\text{氣瓶體積}) \\ = 8.23 (m^3)$$

再將右室氣體用以吹氣球，氣球數 =  $\frac{8.23}{1.0 \times 10^{-2}} \approx 823$  個

## Ideal Gas Model

- Molecular Model for an Ideal Gas
  - <http://www.phy.ntnu.edu.tw/java/idealGas/idealGas.html>
  - <http://hyperphysics.phy-astr.gsu.edu/hbase/kinetic/idegasc.html#c1>

## Quantitative Empirical Laws

- Quantitative empirical laws → rediscover the ideal gas.  $PV=8.32NT$  where **P** is pressure, **V** is volume, **N** is gas amount, and **T** is temperature
- BACON start by suggesting a **series of experiments** that will provide the **measurement data**

9

## Quantitative Empirical Laws

- The **human operator** carries them out and supplies the computer with the outcomes
- As **enough data** have been gathered, the system **searches the space** of **mathematical functions** with the objective of **finding an equation** consistent with the data
- One method of searching for the equation is to **make one of the variables dependent** while the others remain independent

10

## Ideal Gas Model

- Try to find out the relations between
  - total number of molecules  $N$  ---- volume  $V$
  - **the pressure of the system  $P$ --- volume  $V$**
  - the velocity of the molecules  $v$  --- volume  $V$
- An ideal gas can be characterized by three state variables: **absolute pressure ( $P$ )**, **volume ( $V$ )**, and **absolute temperature ( $T$ )**. The ideal gas law :  $PV=nRT=NkT$

$n$  = number of **moles**

$R$  = universal gas constant =  $8.3145 \text{ J/mol K}$

$N$  = number of molecules

$k$  = Boltzmann constant =  $1.38066 \times 10^{-23} \text{ J/K}$

$k = R/N_A$  where

$N_A$  = Avogadro's number  
 $= 6.0221 \times 10^{23}$

11

## Ideal Gas Model

- The pressure that a gas exerts on the walls of its container is a consequence of the collisions of the gas molecules with the walls. In this model:
  - The **molecules obey Newton's law of motion.**
  - The molecules move **in all direction with equal probability.**
  - There is **no interactions between molecules** (no collisions between molecules).
  - The molecules undergo **elastic collisions** with the walls.

12

## Quantitative Empirical Laws

- Let the system have a repertoire of **typical law-forms** such as

$$y = ax^2 + bx + c$$

$$\sin(y) = ax + b$$

$$y^{-1} = ax + b$$

- The principle consists in **selecting the best law-form** and **tuning the parameters a,b, ..., with the objective of finding an equation that best describes the observed data**

13

## BACON system policy

- Suppose the equation  $y^{-1} = ax + b$  has been selected. At the beginning, the **parameters a and b are initialized to the values 1, 0, and -1**, so that the following combinations are considered as a set of initial states: [a=1, b=1], [a=1, b=0], [a=1, b=-1], [a=0, b=1], [a=0, b=0], etc.

→  $y^{-1} = x + 1$  ...

14

## Sample data for the BACON system

quantity	temperature	pressure	volume
N=1	T=10	P=1000	V=2.36
.	.	P=2000	V=1.18
.	.	P=3000	V=0.78
.	T=20	P=1000	V=2.44
.	.	P=2000	V=1.22
.	.	P=3000	V=0.81
.	T=30	P=1000	V=...
.	.	P=2000	V=...
.	.	P=3000	V=...
.			
N=2	⋮		
.			
.			
N=3	⋮		
.			

## BACON system policy

- Suppose the values in the above table have been measured. BACON will investigate them in the following steps:

1. Find a **function** describing  $V=f(P)$  for the **triplets of examples** assigned in the table to each of the three temperature  $T=10$ ,  $T=20$ , and  $T=30$ . Suppose that  $V^{-1} = \boxed{a}P + b$  with **the following parameters provides the best fit**:

$T=10$ ;  $a=0.000425$ ; thus  $V^{-1} = 0.000425P$

$T=20$ ;  $a=0.000410$ ; thus  $V^{-1} = 0.000410P$

$T=30$ ;  $a=0.000396$ ; thus  $V^{-1} = 0.000396P$

16

## BACON system policy

2. Since the parameter values **depend on the temperature T**, the next task is to find the function relating **a** to **T**. Again, the best fit is achieved by the form  $a^{-1} = cT + d$  with the values of parameters, **c and d, depending on N**:

N=1; c=8.32 and d=2271.4; thus  $a^{-1} = 8.32T + 2271.4$

N=2; c=16.64 and d=4542.7; thus  $a^{-1} = 16.64T + 4542.7$

N=3; c=24.96 and d=6814.1; thus  $a^{-1} = 24.96T + 6814.1$

17

## BACON system policy

3. Find function relating **c to N and d to N**. The best fit is achieved by  $c=eN$  and  $d=fN$ , with  $e=8.32$  and  $f=2271.4$ . **These parameters do not depend on any other variable**  $a = (8.32NT + 2271.4N)^{-1}$

4. Substituting the equation into those equations found in the previous steps, the system obtains:

$V^{-1} = (8.32NT + 2271.4N)^{-1}P$  and this last expression can easily be transformed into:

$$PV = 8.32NT + 2271.4N \rightarrow PV = 8.32N(T + 273)$$

which is the standard form of the **ideal gas law**

18

## Conclusion of BACON system

- The essence of BACON is to apply **common search principles** in the quest for an **ideal form of quantitative law**, rather than just find the **best fitting parameters** → traditional regression technique

19

## How to cope with vastness of the search space

- Machine learning is that **the space of all possible descriptions is often so large** that the search has to rely on **heuristics**, or **becomes computationally intractable**
- The danger of **converging to local maxima of evaluation functions** is **in large spaces** more serious
- 2 techniques to attack this problem: **the use of analogy** and **the idea of storing the original examples instead of their generalized descriptions**

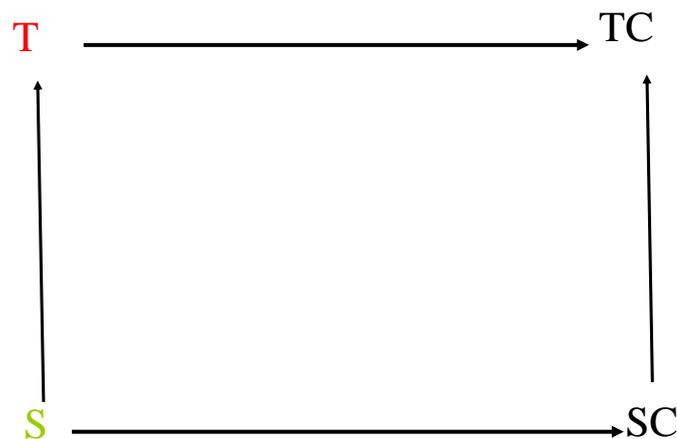
20

## Analogy providing search heuristics

- To find **proper analogies** is one of the secrets of **intelligence**. Much work has been devoted to analogy-based reasoning
- The general framework of analogy **S stands for source, SC for source concept, T for target, TC for target concept**
- The task is to derive TC from T in a way that is analogous to the way SC was derived from the S
- Thus, **having target**, the learner must **find a proper source**

21

## General scheme of analogy



22

## Reasoning-by-Analogy Algorithm

1. **Recognition.** Given a **target concept**, find in the **background theory** a **source S** that is 'similar' to **T**. The similarity can be measured by **syntactic distance**, by the existence of **common generalization** of a pair of **unifying substitutions**, or by some **hint** supplied by the user
2. **Elaboration.** Find SC, together with the inference chain  $\vdash_s$  leading to it from S. Note that, for each S, a collection of SC's usually exist
3. **Evaluation.** Among the SC's, find the one that **best satisfies given criteria**

23

## Reasoning-by-Analogy Algorithm

4. Apply to **T** an inference chain  $\vdash_T$  'similar' to  $\vdash_s$ , thus obtaining **TC**. Assess the utility of TC
5. If necessary, repeat iteratively steps 1 – 4 to find S, Sc,  $\vdash_s$ , and  $\vdash_T$  that yield the most promising (**useful**) TC
6. **Consolidation.** Include TC together with the inference chain  $\vdash_T$  into the background theory

24

## Conclusion of using analogy

- The framework is too **general, reasonable constraints** are usually needed
- The source **S** can be explicitly supplied by the user **telling the system what to do** is analogous to other existed application
- The other possibility is that the user takes over the **evaluation process** and **selects a proper SC** for the source that has been suggested by the system

25

## Instance-based learning

- The only reason for learning is the need to **identify further examples**, the learner can adopt an alternative policy → instead of description, **store typical examples**
- This can preclude many troubles potentially entailed by the **search through a prohibitively large space of generalization**

26

## Instance-based learning

- IBL system can **store selected examples** (described by attributes values) and use them according to “**nearest-neighbor**” principle → the newly arrived example is assigned the class of **the closest one** among the stored examples
- A simple formula to calculate the **similarity** between the examples  $x$  and  $y$  is used ( $x_i$  and  $y_i$  are the respective values of the  $i$ -th attribute) :

27

## Calculate the similarity

- where  $f$  is calculated by the following formula for **numeric / symbolic and Boolean attributes**

$$\text{similarity} (x, y) = \frac{1}{\sqrt{\sum_{i=1}^n f(x_i, y_i)}}$$

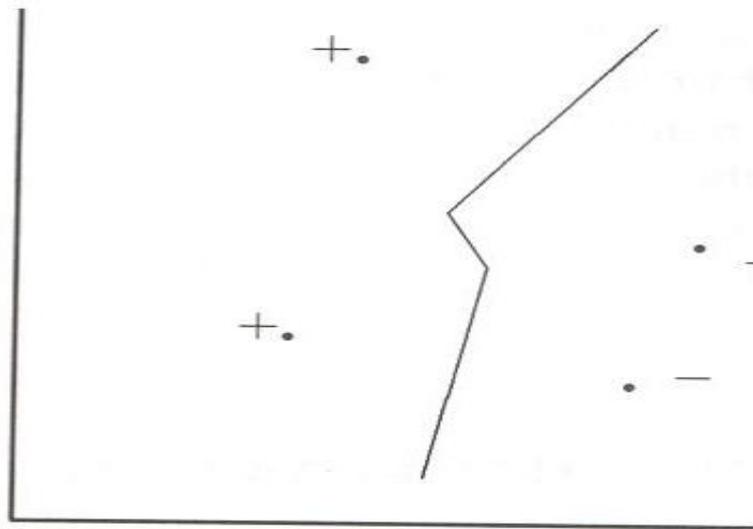
$$f(x_i, y_i) = (x_i - y_i)^2$$

$$f(x_i, y_i) = \begin{cases} 1, & \text{for } x_i \neq y_i \\ 0, & \text{for } x_i \approx y_i \end{cases}$$

- **4 examples** described by **2 numeric variables** are depicted, together with the **discrimination function** separating the space of **positive examples** from the space of **negative examples**

28

### Positive/negative example defining the space



29

### IBL policy and algorithm

- The learner assumes **the availability of a feedback** that will immediately **inform the learner** about the **success or failure** of each single classification attempt

30

## IBL policy and algorithm

- IBL algorithm involves the following steps :
  1. Define the set of **representatives** containing the first example
  2. Read a new example  $x$
  3.  $\forall y$  in the set of **representatives**, determine **similarity( $x,y$ )**
  4. Label  $x$  with the class of **the closest example** in the set of representatives
  5. Find out from the **feedback** whether the **classification was correct**
  6. **Include  $x$  in the set of representatives**, go to 2.

31

## Shortcomings of IBL

- 2 shortcomings degrade the utility of this elementary version: **excessive storage requirements** caused by the fact that all examples are stored; and **sensitivity to noise**

32

## Rectification of IBL

- The rectification consists of a **selective storage of examples** by a “**wait-and-see**” strategy that is summarized by the following principles:
  1. Whenever a new instance has been classified, the ‘**significance-score**’ of each of the previous instances is updated and the instance is stored
  2. Instances with **good scores** are used for **classification**; bad scores are deleted (noise)
  3. **Mediocre instances are retained as potential candidates** and not used for classification

33

## IBL’s classification

- In the classification phase, the **new arrival** is assigned the class of **the nearest good instance** if a **good instance existed**. Otherwise, the new arrival is assigned the class of **the nearest mediocre instance**
- The system increments the score of those **mediocre that are closer to the new arrival** than the closest good instance. If no good instance is available, the system **updates mediocres inside a randomly chosen hypersphere around the new arrival** → **locality characteristics in the OS**

34

## IBL's classification

- A **score** is considered as **good** whenever the **classification accuracy** achieved by this instance is **higher than the frequency of the example's class**
- The classification accuracy of class  $\oplus$  is **the percentage of correctly recognized positive example** in the set of all examples

35

## Conclusion of IBL system

- Instance-based learning has been reported to achieve a significant recognition power in **attribute-value domains**, especially when **the number of examples is large and the attributes describing them are properly chosen**
- The **robustness** against noise is satisfactory
- The power of the system degrades if the **descriptions of the examples contain irrelevant attributes** and/or if **the number of examples available to the learning procedure is small**

36

## Homework

- Find another example of Instance based learning and **described them** as your report!!

37